

February 2025

# General Code of Conduct of Nektar

**We aim to secure the highest standards of efficiency and technical competence while recruiting personnel on as wide a geographical basis as possible. Our Code of Conduct is in line with the protocols of the Alliance and the Agency.**

## **Political Activities**

Our people are strictly prohibited from interfering in the political affairs of the Agency, the Alliance or any of their members, nor can they be influenced in their decisions by the political character of our principal clients' and their members. Only stakeholder interest must be relevant to their decisions, and these considerations must be weighed impartially in order to achieve the purposes of our mandates.

## **Anti-Bribery and Anti-Corruption**

Our principal clients' work directly with head of states, ministers, public officials, candidates for office, employees, or officers of state-owned enterprises. Our activities can present bribery and corruption risk. As a result, we have designed direct and indirect policies and procedures to promote and achieve compliance with anti-bribery and anti-corruption laws. Our people are strictly prohibited from improperly providing anything of value to anyone in order to obtain or retain business or any improper business advantage.

Our people are also prohibited from receiving or accepting bribes. We also perform enhanced due diligence and oversight of third-party relationships and prohibit payment facilitation, as well as the use of third parties or intermediaries and finders to route any payments.

We remain vigilant about potential bribery concerns and escalate them immediately in accordance with the guidance provided with our policies.

## **Anti-Money Laundering**

We work with integrity and in strict compliance with all applicable anti-money laundering laws and regulations. This means we must always ensure we do not receive or are being used to facilitate the flow of criminally-derived funds. To comply with these procedures, we adhere to KYC requirements, verify when applicable source of funds and results of investments and identify unusual or potentially suspicious activities that have no apparent legitimate business purpose or is outside of the ordinary course of a client's or partners business activity.

## **Sanctions**

We adhere to all applicable government economic and trade sanctions, including European Union, UK, US sanction regimes and the UN Sanctions List. These regimes prohibit us from dealing or providing services to certain groups, governing bodies, or targeted individuals and entities currently subjects to sanction measures. To comply with these, we verify the identity and background of personnels and stakeholders directly and indirectly involved with our projects including their directors, officers and controlling shareholders and check watch list, AML Black List and PEP databases.

For more information about the Code of Conduct, please refer to <https://www.iinnovation.agency/code-of-conduct>