

February 2025

Information Policy of Nektar

As a private company Nektar pursues a consistent and transparent information policy vis-à-vis its shareholders and employees.

As an appointed person to international organizations, Nektar follows confidentiality disclosure systems to protect sensitive information such as communications, transactions, documents, accounts, contracts, investments and deposits that clients' may deem necessary for the protection of their interests.

The terms "members and potential members" include but is not limited to members and potential members of Nektar, the Innovation Alliance, international organizations, governments and their agencies

Information classification systems

"Sensitive and Confidential Information" is an information classification system developed by our principal clients' that includes four (4) different categories of information:

1. Strictly Confidential Information ("SCI") are information about Nektar's role and responsibilities including, but not limited to, information that is not readily available to the public, and which concerns operations, financial results, plans and compensation structure, strategies, knowledge, on-line database, trade secrets, or any other proprietary information and whose unauthorized disclosure could reasonably be expected to cause exceptionally grave damage to the work of the Innovation Alliance, Nektar and their members and potential members
2. Confidential Information ("CI") entrusted to Nektar by third parties such as clients, members and potential members or vendors ("Third Party Information") whose unauthorized disclosure could reasonably be expected to cause grave damage to or impede the conduct of the mission of the Innovation Alliance, Nektar and their members and potential members;
3. Unclassified Information ("UI") are information not in the public domain whose unauthorized disclosure could reasonably be expected not to cause damage to the work of the Innovation Alliance, Nektar and their members and potential members;
4. Personally Identifiable Information (PII) are information received from employees, potential members, members, or third parties (including, but not limited to, names, addresses, Social Security Numbers, background information, credit card or bank information, telephone or facsimile numbers, e-mail addresses and health information) ("Personal Information") which if misused could result in identity theft, credit card fraud or other serious harm.

When important events occur, stakeholders are informed simultaneously via the Nektar Terminal, press releases distributed to newspapers of international importance and to electronic information systems (e.g. Bloomberg, Reuters, AWP).

Official notices relating to the company will be published in the Nektar Terminal and in the www.nek-tar.ch website unless some other manner of publication is prescribed by our principal clients'.